OpenPEX: An Open Provisioning and EXecution System for Virtual Machines

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Abstract

Virtual machines (VMs) have become capable enough to emulate full-featured physical machines in all aspects. Therefore, they have become the foundation not only for flexible data center infrastructure but also for commercial Infrastructure-as-a-Service (IaaS) solutions. However, current providers of virtual infrastructure offer simple mechanisms through which users can ask for immediate allocation of VMs. More sophisticated economic and allocation mechanisms are required so that users can plan ahead and IaaS providers can improve their revenue. This paper introduces OpenPEX, a system that allows users to provision resources ahead of time through advance reservations. OpenPEX also incorporates a bilateral negotiation protocol that allows users and providers to come to an agreement by exchanging offers and counter-offers. These functions are made available to users through a web portal and a RESTbased Web service interface.

1 Introduction

In the past, many networked services (such as web sites, databases and computational services) were hosted on dedicated physical hardware, which were configured exclusively to suit application dependent requirements. However, recent hardware and software advances have made it possible to host these services within Virtual Machines (VMs) on 'commodity x86 hardware with minimal overhead. Virtualisation solutions such as Xen [2] and VMWare [1] create a virtual representation of a complete physical machine, enabling operating systems (and any running applications) to be de-coupled from the physical hardware. This allows improved utilisation and consolidation of computing infras-

tructure by multiplexing many VMs onto one physical host.

These developments provide an interesting framework where a lightweight VM image can be a unit of execution (i.e. instead of a task or process on a shared system) and migration. Migration of VMs on the same subnet can be achieved in a totally transparent, work-conserving fashion without the running applications, nor any dependant clients or external resources being aware that it has occurred [7, 5]. Also, VMs enable workload isolation and can be shutdown without adverse effects on other concurrent VMs.

The ability to fashion VMs into expendable resource units has led to their use for ad-hoc deployment of computational infrastructure in order to meet sudden spikes in resource demand. VMs also enable users to create computing environments customised to suit the requirements of their specific e-Science or e-Business applications. These capabilities have led to the advent of infrastructure provisioning services both private (within an enterprise or organisation), or commercial. Providers and consumers of such services negotiate Service Level Agreements (SLAs) that encapsulate the user requirements in terms of Service Level Objectives (SLOs), and the rewards and penalties for meeting and violating them respectively. Therefore, the provider's aim is to maximise its own return of investment by maximising resource utilisation while avoiding or minimising penalties caused by SLA violations.

VMs have also become the 'enabling technology' behind the recent emergence of Cloud Computing [4]. Infrastructure as a Service (Iaas) Providers such as Amazon EC2, GoGrid or Mosso Cloud Servers have emerged that offer virtualised machines (or resource slices) that can be obtained under a pay-per-use arrangement (i.e. no commitment required, utility style pricing). Users can take advantage of elastic capacity, where they can scale up and scale down on demand using a self-service interface, such as a

Web Service or Web Portal. The resources themselves (such as compute and storage) are highly abstracted or virtualised.

However, IaaS service models are evolving and currently, most providers operate on a lease model - the users pay for the time the VM was active. Also, the choices available to the user in terms of specifying requirements are limited. Such models do not allow for more flexible strategies where the user can reduce both his costs and risk by booking his resources in advance. An advance reservation provides a guaranteed allocation of the resources at the needed time to the consumer and helps the provider plan capacity requirements better. However, advance reservations induce new challenges in resource management and require new architectures for realisation. In this paper, we introduce Open-PEX, a utility-based virtual infrastructure manager that enables users to reserve VM instances in advance. OpenPEX also offers a bilateral negotiation protocol that allows users and providers to exchange offers and counter-offers, and come to an agreement that is mutually beneficial. In the next section, we distinguish the contributions of OpenPEX from the state-of-the-art. Section 3 discusses the design and implementation of OpenPEX at length. Section 4 discusses the Web Service interface to OpenPEX and finally, we conclude the paper with details on our future plans for the system.

2 Related Work

Virtual Machine technology has become an essential enabling technology of Cloud Computing environments. Cloud Computing is a style of computing where resources can be obtained in a pay-per-use manner (no commitment, utility pricing). Such resources have elastic capacity, where they can be scaled up and down on demand. Resources are highly abstracted and virtualised, and can be obtained via a self-service interface.

VMs are highly attractive to manage resources in such environments as they improve utilisation by multiplexing many VMs on one physical host (consolidation), allow agile deployment and management of services, provide on demand cloning, (live) migration and checkpoint which improves reliability. Furthermore, a VM can be a self-contained unit of execution and migration. As such, effective management of VMs and Virtual Machine infrastructure is critical for any Cloud Computing Infrastructure as a Service (IaaS) provider.

2.1 Public IaaS Cloud Services

Amazon Elastic Compute Cloud (EC2) is an IaaS service that provides resizable compute capacity in the cloud. These services can be leveraged via Web Services (SOAP or REST), a web-based AWS Management Console or the EC2

Command Line Tools. The Amazon service provides hundreds of pre-made AMIs (Amazon Machine Image), giving users a wide choice of operating systems (i.e. Windows or Linux) and pre-loaded software. Instances come in different sizes, from Standard Instances (S, L, XL), which have proportionally more RAM than CPU, to High CPU Instances (M, XL), which have proportionally more CPU than RAM. A user can deploy these instances in two different regions, US-East and EU-West Regions, with EU instances costing more per hour than their US counterparts.

Amazon EC2 provides an alternative to it's on-demand instances, known as a *reserved instance*. This facility offers a number of benefits over simply requesting instances on demand, as it provides a lower per-hour rate, and provides assurances that any reserved instance you launch is guaranteed to succeed (provided you have booked them in advance). That is, users of such instances should not be affected by any transient limitations in EC2 capacity.

2.2 Private IaaS Cloud Platforms

Many different platforms exist to assist with the deployment and management of virtual machines on a virtualised cluster (i.e. a cluster running Virtual Machine software). Such platforms are often referred to as 'Private Clouds', as they can bring the benefits of Cloud Computing (such as elasticity, dynamic provisioning and multiplexing workloads onto fewer machines) into local clusters.

Eucalyptus [9, 8] is an open-source (BSD-licensed) software infrastructure for implementing Infrastructure as a Service (IaaS) Compute Cloud on commodity hardware. Eucalyptus is notable by offering a Web Service interface that is fully Amazon Web Services (AWS) API compliant. Specifically, it emulates Amazon's Elastic Compute Cloud (EC2), Simple Storage Service (S3) and Elastic Block Store (EBS) services at the API level. However, as the implementation details of Amazon's services are not published, Eucalyptus' internal implementation would differ.

OpenNebula [11] is an open-source Virtual Infrastructure management software that supports dynamic resizing, partitioning and scaling of computing resources. OpenNebula can be deployed in a private, public or hybrid Cloud models. The OpenNebula software turns an existing cluster into private cloud, which can be used privately or can expose service to public via XML-RPC Web Services. The integration of Cloud plugins (EC2, GoGrid) enable hybrid model, where you can mix and match private and public resources. Haizea [11] has extended OpenNebula further, allowing resource providers to lease their resources using sophisticated leasing arrangements, instead of only providing on-demand VMs like most other IaaS services.

2.3 Issues with existing solutions

With the exception of OpenNebula (when used in conjunction with the Haizea extension) and Amazon EC2 (when used with Reserved Instances), none of the above public or private platforms offer the ability to perform an Advanced Reservation of computing resources, rather they only supply on-demand capacity on a best-effort basis (i.e. if adequate resources are available). Furthermore, none of these platforms provide an alternate offer (that is, a modified offering that can satisfy a users request that may differ from their initial request) in the event that the system cannot satisfy a user's specific request for resources.

Whilst Haizea supports a form of Advanced Reservation (which it denotes as *advanced reservation leases*), if the request cannot be satisfied there is no recourse - the request will be rejected. Under the same circumstances, the Open-PEX system enacts a bilateral negotiation protocol that allows users and providers to come to an agreement by exchanging offers and counter-offers, so a user's advanced reservation request can be satisfied.

Amazon EC2 offers its own variation on the notion of Advanced Reservation with its Reserved Instances product. However, you need to purchase a Reserved Instance for every instance you wish to guarantee to be available at some point in the future. This essentially requires the end user to forecast exactly how many they will require in advance. Acquisition of Reserved Instance is not instantaneous either, in the authors' experience a request for an Reserved Instance has taken more than an hour on previous occasions.

2.4 Utility Computing Platforms

With increasing popularity and usage, large Grid installations are facing new problems, such as excessive spikes in demand for resources coupled with strategic and adversarial behaviour by users. Traditional Grid resource management techniques did not ensure fair and equitable access to resources in many systems. Traditional metrics (throughput, waiting time, slowdown) failed to capture the more subtle requirements of users. There were no incentives for users to be flexible about resource requirements or job deadlines, nor provisions to accommodate users with urgent work.

In such systems, users assign a "utility" value to their jobs, where utility is a fixed or time-varying valuation that captures various QoS constraints (deadline, importance, satisfaction). The valuation is amount they are willing to pay a service provider to satisfy demands. Service providers attempt to maximise their own utility, where utility may directly correlate with their profit. Providers can prioritise high yield (i.e. profit per unit of resource) user jobs, and shared Grid systems are then viewed as a marketplace, where users compete for resources based on the perceived

utility / value of their jobs. Further information and comparison of these utility computing environments are available in extensive survey of these platforms [3].

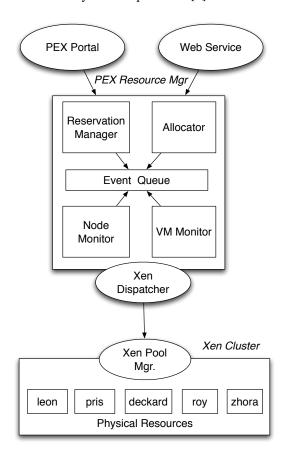


Figure 1. The OpenPEX Resource Manager.

3 OpenPEX

OpenPEX was constructed around the notion of using advance reservations as the primary method for allocating VM instances. The use case followed here is of a user who, either through a web portal or through the web service makes a reservation for any number of instances of a Virtual Machine that have to be started at a specific time and have to last for a specific duration. The VM is described by a template that is already registered in the system. If the request can be satisfied for the price asked for by the user, then OpenPEX creates the reservation, else it creates a counteroffer with an alternate time interval where the request can be accommodated. The counter offer may also instead specify a different price for the original time interval. Once the reservations have been finalised, the user can chose to activate the reservation or have OpenPEX automatically start the instances when required.

These requirements motivate a resource management system that is able to manage physical nodes in such a manner that it maintains the capacity to satisfy as many advance reservation requests as possible. Such adaptive management may be enabled by a variety of techniques including load forecasting, migrating existing VMs to other resources, or/and suspending some of the VMs in order to increase the available resource share.

Figure 1 shows the architecture of the OpenPEX Resource Manager. The Resource Manager has the following components:

Reservation Manager - This component interacts with the users through the portal or the web service, and receives incoming requests. It examines them to check whether they are feasible according to the reservation policy employed, and creates counter-offers when required.

Allocator - Manages the allocation of VMs to physical nodes. The allocator's roles are to: create capacity for new VMs by triggering migration of existing VMs to other nodes, or by suspending long running VMs; to identify physical nodes on which reservations can be activated; and to react to events such as the loss of a physical node.

Node Monitor - Monitors the health and load of the physical nodes.

VM Monitor - Monitors the health of the VMs that have been started in OpenPEX. It detects events such as a VM shut down by a user from the inside, a VM suddenly crashing, or a VM being unresponsive.

Dispatcher - Interacts with the virtual machine manager or the hypervisor on the physical nodes. It relays commands such as create, start or shutdown a VM to the virtual machine manager. The dispatcher is the only component that is specific to the underlying virtual machine manager, the rest of OpenPEX is designed to be independent of the underlying infrastructure.

All these components are connected to an **Event Queue** that acts as a simple message bus for the system. The Event Queue also enables scheduling of future tasks by allowing delayed events. The entire system is backed by a **Persistence** layer that saves the current state to a database.

3.1 Negotiating Advance Reservations

As described previously, OpenPEX allows advance reservations to be negotiated bilaterally between the producers and consumers. As described previously, OpenPEX allows advance reservations to be negotiated bilaterally between the producers and consumers. For this,

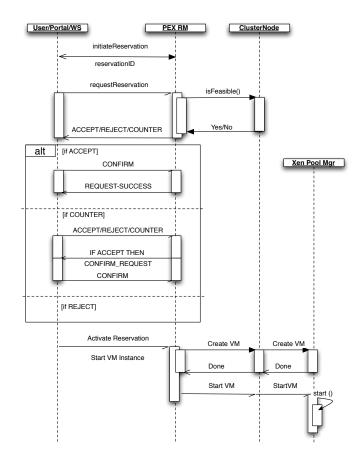


Figure 2. Alternate Offers Negotiation for Advance Reservations.

we have employed a protocol based on the Alternate Offers mechanism [10] which was previously used for negotiation of SLAs in an enterprise Grid framework [12]. The implementation of this protocol in OpenPEX is shown in Figure 2. The user opens the interaction by sending an initiateReservation request in reply to which OpenPEX returns a unique reservationID identifier. This identifier acts as a handle for the session and if the reservation goes through, then until its life-cycle is complete. The user then submits a proposal through a requestReservation call. The proposal contains a description of the VM being requested (e.g. instance size), the number of instances required, the start time for activating the reservation and the duration for which the reservation is required. The instance sizes are detailed in the next section and examples of these descriptions are given in Section 4.

In return, OpenPEX can respond with: ACCEPT, if the proposal is acceptable; REJECT, if the proposal cannot be satisfied in any manner; and COUNTER, if the reservation required cannot be fulfilled with the parameters given in the

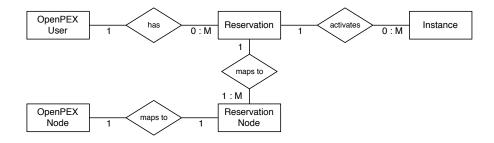


Figure 3. OpenPEX Entity Relationship Diagram.

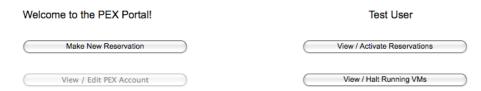


Figure 4. OpenPEX Welcome Screen.

proposal but an alternative can be generated instead. With the last option, OpenPEX returns an alternative (or counter) proposal generated by replacing terms of the user's original proposal with those acceptable to it. For example, a user could ask for 5 instances of a Virtual Machine of small instance size (refer Section 3.2) and with Red Hat Enterprise Linux operating system. These instances have to be started at 10:00 a.m. on August 21, 2009 for six days after which they can be shut down. However, OpenPEX may not be able to provision these instances on August 21, but may have free nodes for six days starting August 23. In this case, it will generate a counter proposal that replaces only the start time with the new start time in the user's original proposal. If OpenPEX is not able to provision the VMs in any case (e.g. number of instances requested exceeds its capacity), then the proposal is rejected. When the user receives an ACCEPT, he can then reply with CONFIRM to confirm the reservation. In reply to a COUNTER, the user has the same three reply options. In case the user accepts the counter-proposal (through the reply ACCEPT), Open-PEX sends back a CONFIRM-REQUEST so that the user can reply back with a CONFIRM to confirm the reservation. This extra step is necessary as, even though the protocol is bilateral, only OpenPEX can confirm a reservation.

Once the reservation is confirmed, the user can activate it by instantiating the VMs in the reservation after the agreed-upon start time. The user can start or shutdown VMs at any time during the course of the reservation. Once the duration is over, the reservation expires, and all active VMs on that reservation are shutdown.

Table 1. List of available VM configurations in OpenPEX.

Size	Configuration
SMALL	1 CPU, 768 MB RAM, 10 GB HDD
MEDIUM	2 CPU, 1.5 GB RAM, 20 GB HDD
LARGE	3 CPU, 2.5 GB RAM, 40 GB HDD
XLARGE	4 CPU, 3.5 GB RAM, 60 GB HDD

3.2 Resource Management in OpenPEX

Users are only able to ask for standardised configurations of virtual machines from the OpenPEX Resource Manager. These configurations depict the "sizes" of the virtual machines as given in Table 1. The virtual machines can be paired with an operating environment chosen from the templates available in the OpenPEX database.

When a user request arrives at the Reservation Manager, the individual nodes are polled to determine which of them are free at the requested time. If more than one nodes are free, then the Manager choses the most loaded of them to host the request. In case, there are no free nodes available, an alternate time slot is requested from each of the nodes. The node that provides a starting time closest to the original request is temporarily locked, and the new starting time is sent as an alternate offer to the user via a COUNTER reply in the negotiation protocol.

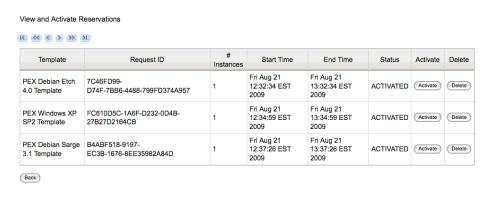


Figure 5. OpenPEX Reservations Screen.

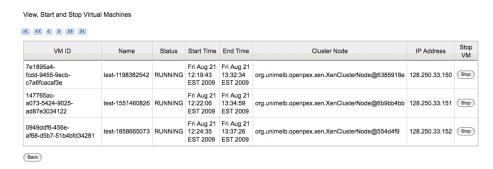


Figure 6. OpenPEX Instances Screen.

3.3 Web Portal Interface

OpenPEX is developed completely in Java and is deployed as a service in an application container on the cluster head node (or the storage node). It communicates with the pool manager using the Xen API and uses the Java Persistence API (JPA) for the persistence back-end. The database structure for PEX is depicted in Figure 3 and follows Object-Relational Mapping (ORM) for easy development and extensibility.

The OpenPEX system provides an easy to use Web Portal interface, enabling the user to access all the functionality of the OpenPEX. Users can access the system via a web browser, register for an account and login to the system. Upon logging in they will be greeted by a simple Welcome Screen depicted in Figure 4, which shows what functions are available to the end user.

The user can choose to make a new reservation, where they can choose the size of the reservation they wish to make (from the choices listed in Table 1), the start and end time, the template (i.e. Operating System) they wish to use and the number of instances they require. Their request can be accepted or they can enter into a negotiation until they come to an agreement with the OpenPEX cluster.

Once this process has occurred, they can view their existing reservations and activate any unclaimed reservations

via the Reservations screen. Figure 5 shows the Reservations screen with three reservations. If a reservation was not yet activated, a user can choose to delete it (if they no longer required it) or activate it, so the associated instances can start at the appropriate start time.

Virtual Machine instances can be viewed and manipulated via the Instances screen depicted in Figure 6. Here the user can view salient information regarding their VM instance, such as it's machine name, status (e.g. HALTED, RUNNING, PAUSED, SUSPENDED). start time, end time, and IP address. An instance can also be stopped early (i.e. before it's designated end time) if desired.

4 RESTful Web Service Interface

It is essential to provide programmatic access to the functions and capabilities of an OpenPEX cluster, in order for users to be able to dynamically request reservations from the system (i.e. scaling out during periods of peak load), or even to integrate an OpenPEX system into a wider pool of computing resources. As such, the full functionality of the OpenPEX system is exposed via Web Services, which are implemented in a RESTful (REpresentational State Transfer) style [6].

The REST-style architecture provides a clear and clean delineation between the functions of the client and the

Table 2. OpenPEX RESTful Endpoint

OpenPEX Operation	HTTP	Endpoint	Parameters	Return type
Create reservation	POST	/OpenPEX/reservations	JSON (Fig. 7)	JSON (Fig. 8,9)
Update reservation	PUT	/OpenPEX/reservations/requestId	JSON	JSON
Delete reservation	DELETE	/OpenPEX/reservations/requestId	None	HTTP 200 (OK)
Activate reservation	PUT	/OpenPEX/reservations/requestId/activate	None	HTTP 200 (OK)
Get reservation information	GET	/OpenPEX/reservations/requestId	None	JSON
List reservations	GET	/OpenPEX/reservations	None	JSON
Get instance information	GET	/OpenPEX/instances/vm_id	None	JSON
List instances	GET	/OpenPEX/instances	None	JSON
Stop instance	PUT	/OpenPEX/instances/vm_id/stop	None	HTTP 200 (OK)
Reboot instance	PUT	/OpenPEX/instances/vm_id/reboot	None	HTTP 200 (OK)
Delete instance	DELETE	/OpenPEX/instances/vm_id	None	HTTP 200 (OK)

server. A client performs operations on resources (such as *reservations* and *instances*) which are identified through standard URIs. The server returns a JSON¹ representation of the resource back to the client to indicate the current state of that resource. Clients can modify and delete these resources by altering and returning their representations as required. A client could be a Java or Python program, or a Web Portal management interface for the OpenPEX system.

```
"duration": 3600000,
"numInstancesFixed": 1,
"numInstancesOption": 0,
"startTime": "Mon, 17 Aug 2009 04:49:03 GMT",
"template": "PEX Debian Etch 4.0 Template",
"type": "XLARGE"
```

Figure 7. Create reservation JSON body

Table 4 lists the functions exposed by the Web Service interface, along with their corresponding HTTP methods and endpoints. Some calls require a JSON body whilst others trigger their functionality by simply being accessed. The calls typically return a JSON object or array, or simply a HTTP code denoting whether an operation was a success or failure. All the methods listed require HTTP basic authentication. From this table we can see that the full OpenPEX life-cycle is exposed via the Web Services interface. Customers can create a new reservation, engage in the bilaterally negotiation (via the Alternate Offers protocol described earlier in this paper) via the /OpenPEX/reservations endpoint, and finally activate their reservation. Once a reservation has been activated, the corresponding Virtual Machine instances are started at their designated start time. A user has control over these instances via the /OpenPEX/instances endpoint,

where they can stop, reboot or delete these instances.

```
{
   "proposal": {
      "duration": 3600000,
      "id": "5D0FA0EB-90A8-F4E6-1DFF-61B2CEC6AD91",
      "numInstancesFixed": 1,
      "numInstancesOption": 0,
      "startTime": "Mon, 17 Aug 2009 04:49:03 GMT",
      "template": "PEX Debian Etch 4.0 Template",
      "type": "XLARGE",
      "userid": 1
},
   "reply": "ACCEPT"
}
```

Figure 8. Reply to reservation request

Figure 7 depicts the JSON body for a new reservation call. A user specifies the duration of the reservation, the number of instances required, the start time, the desired template (e.g. Operating System) required and the type (Table 1) of instance required. These preferences are expressed in the JSON body of the call. OpenPEX will then respond with a JSON reply that indicates the outcome of the request, which could be an acceptance of the proposed reservation (shown in Figure 8), a counter offer indicated an alternate reservation that could satisfy the user (shown in Figure 9), or an outright rejection of the proposed reservation.

Upon successfully obtaining a reservation in the system, a user can get the reservation record and activate the reservation. Once the reservation has been activated the user can then operate on the instances themselves, obtaining the instance record, and control the state of the Virtual Machine instance itself by stopping, rebooting or deleting it.

5 Conclusion and Future Work

In this paper we introduced OpenPEX, a system that allows users to provision resources ahead of time through ad-

¹The application/json Media Type for JavaScript Object Notation (JSON) - http://tools.ietf.org/html/rfc4627

```
"proposal": {
    "duration": 3600000,
    "id": "F07640D4-32BC-DDB6-457E-32B5595BA066",
    "numInstancesFixed": 1,
    "numInstancesOption": 0,
    "startTime": "Mon, 17 Aug 2009 05:52:31 GMT",
    "template": "PEX Debian Etch 4.0 Template",
    "type": "XLARGE",
    "userid": 1
},
"reply": "COUNTER"
```

Figure 9. Counter Reply to reservation request

vance reservations, instead of being limited to on-demand, best-effort resource acquisition. OpenPEX also incorporates a novel bilateral negotiation protocol that allows users and providers to come to an agreement by exchanging offers and counter-offers, in the event that a user's original request cannot be precisely satisfied.

The fundamental aim of OpenPEX was to harness virtual machines for adaptive provisioning of services on shared computing resources. Adaptive provisioning may involve a combination of: 1) creating of new VMs to meet increase in demand; 2) migrating existing VMs to other available resources; and/or 3) suspending execution of some VMs in order to increase the resource share available to others. These techniques are, however, governed by negotiated agreements between the users and the resource providers, and between providers that encapsulate costs and guarantees for deployment and maintenance of VMs for services. Demand and supply for services in such an environment is, therefore, mediated by market-driven resource management mechanisms, thereby leading to a so-called utility computing environment.

As such, we are endeavouring to implement provisional market-based resource management techniques in Open-PEX system to collect pricing and utilisation data, and introduce policies and strategies for managing virtual machines in a market-driven utility computing environment. We intend to achieve this by:

- 1. Soliciting a wide range of users (from other faculties and other collaborating Universities) to run VM encapsulated workloads on the test-bed.
- Measuring crucial pricing (using simulated currency mechanism) and usage data from users of the system, which is difficult to obtain from commercial computing centres and largely absent from the literature.
- 3. Formulating market-driven policies for scheduling and migration in VM platforms based on data collected.

- Integrating market-driven scheduling and migration policies into OpenPEX.
- Evaluating strategies for negotiating among multiple VM providers and users based on market conditions in conjunction with the proposed market-drive policies.

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