FollowMe@LS: Electricity Price and Source Aware Resource Management in Geographically Distributed Heterogeneous Datacenters

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Abstract. With rapid availability of renewable energy sources and growing interest in their use in the datacenter industry presents opportunities for service providers to reduce their energy related costs, as well as, minimise the ecological impact of their infrastructure. However, renewables are largely intermittent and can, negatively affect users’ applications and their performance, therefore, the profit of the service providers. Furthermore, services could be offered from those geographical locations where electricity is relatively cheaper than other locations; which affects applications’ performance. Similarly, non-interactive workloads could be queued to run in day or night time when renewables such as solar or wind are at peak, respectively. In order to ensure energy, performance and cost efficiencies, appropriate scheduling and resource management techniques are required to manage the infrastructure and energy sources. In this paper, we propose several scheduling and management techniques such that providers’ revenues could be maximised under the performance, ecological and cost constraints. Using real workload traces and electricity prices for several geographical locations and distributed, heterogeneous, datacenters, our experimental evaluation suggest that the proposed approaches could save significant amount of energy (∼15.26%), reduces service monetary costs (∼0.53% - ∼19.66%), improves (∼1.58%) or, at least, maintains the expected level of applications’ performance, and increases providers’ revenue along with environmental sustainability, against the first fit heuristic algorithm.

Keywords: datacenters, performance, migrations, energy efficiency, clouds

1 Introduction

Cloud computing offers utility-based services to IT users across the world. Its impact is increased with the demand of computing resources like CPU, storage access, network and applications for business, consumer or scientific domain. The hosting of these resources are provided by large datacenters. These datacenters, in return, consume large amount of energy, yielding a high cost for operation of these datacenters along with environment being affected by carbon footprints and green house gases (GHG) emitted¹ - i.e. work most closely and frequently with carbon-free energy sources like solar and wind. This is achieved through the idea of somehow shift the timing of many compute tasks (non-urgent) to when low-carbon energy sources, like solar and wind, are most plentiful. In 2016, it was projected that the world’s datacenters utilized more than Britain’s total power utilization - 416.2 terawatt hours (TWh), essentially very high than that of Britain’s 300 TWh [1]. As accounting for approximately 3% of the worldwide power supply and approximately 2% of total GHGs, datacentres have almost same carbon emissions as of the aviation industry [2]. The energy consumed by datacenters is approximately 205 TWh of electricity usage in 2018, which is nearly 1% of all electricity consumed worldwide, according to a new published report. The 205 TWh shows a 6% increase in overall power usage since 2010, though compute instances for global data-center raised by 50% within the same period of time. This increase in energy consumption is due to the fact that there is an enormous increase in on-line services, mobile devices and users, on-line gaming, and IoT (internet of things) based devices.

Besides the above, [2] also depicts that conceivably, due to migration of workloads, for the organization from private clouds to the public clouds, datacenters energy utilization will conceivably stay unaltered till 2020. These sorts of issues can be fathomed, mostly, utilizing methods such as resource assignment, scheduling and consolidation i.e. efficient asset management [3], [4]. Resource management strategies are dependent over the already available technologies such as, virtualisation and containerization – container-based virtualisation. They are broadly utilized by cloud service providers to give resources to IaaS (Infrastructure as a Service) clients. Virtualisation builds the idea of a VM (virtual machine) whereas

containerization portrays the VM to as container; both running on virtualised servers. VMs have been broadly utilized in public clouds, especially, the state-of-the-art in IaaS is broadly aware with VMs. Cloud service providers such as Microsoft Azure, Google, and Amazon EC2 gives VMs services to their clients and jointly execute applications (workloads/services) inside VMs. Besides, different PaaS (Platform as a Service) and SaaS (Software as a Service) suppliers, such as Google App, Gmail, are placed on top of IaaS where they execute all their applications and workloads inside VMs and/or containers.

The world is on track for perilous climate alter, having about misplaced room to assist contamination within the mix of gasses that make up the air. In spite of a rise in clean, renewable energy supplies in certain nations like UK, Germany; and a fractional move from coal to natural gas in others, worldwide GHG contamination proceeds to rise – and at an expanding pace within the most later a long time [5]. This alarms the need of energy-aware computation to be taken into account on a priority basis [6], [7].

The renewable energy reached for 54 TWh (3.3%) of the Britain’s total energy utilization in 2010, having expanded consistently since 2005, and by 15% from 2008 to 2009. We’ll have to be see more than a four times increment in renewable energy utilization by 2020 in the event that 15% of the energy requirements are to be met from renewable energy sources. Utilization of renewable energy will ought to rise by 17% annually to meet that objective. A large proportion of datacenter usage today is through the public clouds. It is estimated that 53% of all servers will be located in hyper-scale public cloud datacenters by 2021. This basically means AWS, Google cloud and Microsoft Azure [2].

The problem with contracting energy is that it is sort of cheating. Whilst renewable energy is being generated somewhere, that may not be where your datacenter is. The third option is to fix this – deploy renewable sources of energy on the local grid providing power 24/7, so the datacenter will actually consume renewables at all times. This is much more difficult because of the varying location of datacenters and weather (intermittent). Some facilities are located in regions with abundant wind, solar and/or hydro while others are not. Google began work to achieve 24/7 renewables in 2018. Furthermore, their approach towards carbon-intelligent computing offers ways to shift workloads to times of day with peak renewable energy. It is drawing closer to the development of its claim, or contacting to third parties, sources for renewable energy that go specifically into the local network. Google published an article about their approach which incorporates a few interesting illustrations of the concept. However, this is still not possible to switch all datacenter operations to renewable; because in 2018, approximately 63.5% of electricity generation in the United States was from fossil fuels such as coal [8]. Furthermore, various regions offer different prices for energy consumption. These will make the resource providers to run user workloads competitively for cheap energy sources and low prices to increase their money savings and ecological impacts. However, this should be optimised subject to network costs in terms of latencies and workload performance i.e. execution times (translating to user bills). This needs further exploration, investigation and research which is the focus of this paper [9].

In this paper, we investigate how workloads could be run in geographically distributed cloud datacenter so that the energy cost can be minimised [10]. Moreover, how performance of workloads would be affected when putting or migrating them in locations with the least energy prices and higher availability of the renewable source. Google has taken initiative to shift workloads in their clusters according to time of the day; in order to increase environmental sustainability. However, the details of their approach are still not published. Moreover, to the best of our knowledge, with the notable exception of [11], there is no study in current state-of-the-art datacenter approaches that considers migrating workloads across different clusters. Besides several limitations of our work, our findings are of interest and noteworthy with respect to energy savings and performance gains. Following are the major contributions of the research conducted in this paper:

- a placement policy “FillUp@LS” is suggested that puts appropriate workloads on appropriate clusters, according to energy sources and prices;
- a consolidation policy “FollowMe@Location” is proposed that migrates workloads across different clusters, geographically distributed, in an energy, performance, cost effective way;
- a consolidation policy “FollowMe@Source” is proposed that migrates workloads across different clusters fuelled through different energy sources such that performance is not affected;
- the proposed scheduler (placement plus consolidation) runs in a distributed fashion - where the global scheduler communicates with several local scheduler in order to take appropriate workload execution decisions; and
- we investigate the impact of “FollowMe@Location”, “FollowMe@Source” and how a combination of both these consolidation strategies “FollowMe@LS” would affect energy consumption, performance and costs.

2 https://www.blog.google/outreach-initiatives/sustainability/100-percent-renewable-energy-second-year-row/
The rest of the paper is organized as follows. In Sec. 2, we discuss the resource allocation, placement and consolidation problem in geographically distributed cloud datacenters along with variations in electricity prices and sources of production. In Sec. 3, we propose an allocation policy to put workloads on appropriate resources. Furthermore, we proposed two consolidation approaches i.e., “FollowMe@Location” and “FollowMe@Source” that prefer to migrate workloads among geographically distributed clusters according to electricity prices and sources of production, respectively. Both policies are, then, combined to come across a third consolidation method i.e., “FollowMe@LS” that take appropriate migration decisions to account for electricity prices and sources of production, simultaneously. We evaluate and validate the proposed policies through real workload datasets from Google, in Sec. 4 and demonstrate its efficiency in terms of energy, performance and, therefore, cost with respect to existing methods. Sec. 5 briefly summarizes our outcomes, validity of the obtained results along with limitations. In Sec. 6, we offer an overview of the related work. Finally, Sec. 7 summarises the paper along with several shortcomings and limitations. Moreover, future research directions are described.

2 Problem Description

Largely, cloud service providers (CSP) use various sources, as shown in Fig. 1, to produce electricity that fuel their infrastructure, offices, cooling, and lighting devices etc. Furthermore, a single CSP may have different infrastructure or datacenters which are distributed over various geographical locations (e.g., the notion of availability zones in the Amazon web service cloud). Different energy sources and, as well as, geographical locations would have different prices for electricity at different times of the day. Besides providing services at the edge level, CSPs would be interested to run user applications energy, ecological and cost effectively. For example, renewables are: (i) intermittent and may not be available any time; or (ii) renewables are cheaper than grid energy, as well as, environmental friendly. Therefore, certain workloads such as non-interactive (non-real time) tasks including YouTube video processing, could be run, as appropriate, to optimise these objectives. For example, when renewables (solar, wind) are at peak (time of the day), then, running workloads at maximum can be more effective. Moreover, certain workloads, non-interactive tasks, can be delayed for execution while taking benefits from renewables. Similarly, electricity prices varies from locations to locations, particularly in the United States, that could be of interest to CSPs in order to decrease their energy bills, therefore, increase their profits and/or reduce users’ monetary costs. This could be achieved through VM placement, scheduling and consolidation with migration policies.

Fig. 1: Distributed datacenters with various energy sources and locations [12]

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3 https://datacenterfrontier.com/google-shifting-server-workloads-to-use-more-renewable-energy/
To face and solve these challenges, the design and implementation of an effective, and elastic scheduler and resource management approach to monitor the whole infrastructure is difficult, yet also essential. A scheduler is an integral and main part of a resource management system which is responsible to schedule jobs/VMs on appropriate resources. Usually, the scheduling problem is assumed as a bin-packing issue which is NP-hard; and is solved using numerous heuristic algorithms. Albeit, heuristics are not optimal, but they are enough fast to reach a scheduling decision. Other methods, such as backfilling [13], are used to convert classical heuristics into approximate approaches. It is needed since collecting resource statistics makes it conceivable to yield proper adaptation decisions both at: (i) strategic level (e.g. the selection of one or more server where it will be executing at certain geographic region) and dynamic level (e.g. the resource reconfiguration, load-balancing, resource scaling, migration, re-allocation and so on). Such decisions should be taken in such a way that performance of the workloads is not negatively affected - since performance loss will translate to increased users’ monetary costs. Furthermore, other essential objectives should also be guaranteed.

2.1 Mathematical Formulation

The above problem can be assumed as a multi-objective optimisation with focus to minimise energy bills, energy consumption (more ecological and environmental friendly as less energy consumption means low production), improve or, at least, maintain the expected level of performance, and reduce users’ service costs. Note that, energy bill and energy consumption are directly proportional to each other and can be assumed as a single objective. Moreover, performance, when considered as workload execution time, is directly proportional to user’ service cost (pay as you go) and can be assumed as a single objective. Furthermore, lower execution times mean improved performance and lower users; monetary costs – workload performance is an inverse of the workload execution time. Thus, the multi-objective problem is translated to an equivalent bi-objective optimisation problem [14]. The former objective can be denoted as $E$ while the latter one as $C$. Since, both objectives carry the same goals i.e. minimisation; therefore, their product can be assumed as a single objective [1]. Mathematically, the single objective of our bi-objective optimisation problem can be written as:

$$\text{minimise}(E, C)$$ (1)

subject to several constraints such as: (i) the workload performance is not degraded; and (ii) each workload exactly runs at a single location or datacenter at a particular time. Besides, other constraints can also be available to form a multi-objective optimisation problem [15]. We solve the problem using the well-known heuristic techniques, such as First Fit (FF), Best Fit (BF) and so on. The total energy cost $E$ is computed through multiplying the energy price $E_{\text{price}}$ with the total energy consumption ($EC$) of $n$ hosts in a particular cluster. Furthermore, the $EC$ relate to real benchmarked values as described later in Sec. 4.2. The host and datacenter energy consumption is measured in KWh; while the energy price is measured in US dollars per KWh - thus the unit of $E$ translates to dollars.

$$E = E_{\text{price}} \times \sum_{\text{host}=1}^{n} EC_{\text{host}}$$ (2)

Similarly, the user monetary cost $C$ is computed through multiplying the service price ($VM_{\text{cost}}$) (depending on the VM type) and execution time (or runtime) $T$ of the workload. The workload execution time is the sum of all tasks’ runtimes, which belong to a particular workload or application. The workload runtime is measured in hours; while the VM cost is measured in US dollars per hour - thus the unit of $C$ translates to dollars.

$$C = VM_{\text{cost}} \times \sum_{\text{task}=1}^{\text{workload}} \text{Runtime}_{\text{task}}$$ (3)

Note that, workload runtimes is inversely proportional to the workload performance i.e. lower performance values mean higher runtimes, there, higher users’ monetary costs and vice versa. In certain circumstances, performance may be more preferably refer to response time e.g. real time cloud services [16]; however, since users are billed based on their workload runtimes, therefore, we prefer this as a good performance metric [16].
3 Proposed FollowMe@LS Technique

The above problem can be solved using heuristic techniques which are suggested to be more appropriate than optimal solutions, particularly, in large-scale online problems such as VM placement and consolidation [13]. Furthermore, VM placement can be assumed as sub-part of the consolidation with migration problem. During consolidation, a set of hosts (under-utilised and over-utilised) are considered. Then, a list of VMs are selected for migration from these hosts; Finally, the selected VMs are placed on appropriate hosts. This section describes a VM allocation and a consolidation policy in order to meet various objectives criteria. VM placement and consolidation decisions are usually triggered by the scheduler that might be a centralised or distributed, as shown in Fig. 2. A scheduler, or more specifically, cloud scheduler is an essential element in the cloud broker which is responsible to manage all infrastructure resources according to customers’ requirements and quality of service (QoS). A single scheduler can be more appropriate as it will have the knowledge of all infrastructure but it suffers from single point of failure [17]. A distributed scheduler, at some additional cost of communication, could manage large number of heterogeneous resource more effectively.

![Fig. 2: Distributed scheduling across various datacenters](image)

In our proposed framework, each cluster (geographical datacenter) is in control of a particular local scheduler. The local scheduler is responsible to assign VMs to appropriate hosts in that particular cluster; and have a monitoring module to gather resource statistics such as utilisation level. Each local scheduler has an optimisation module that can take intra-cluster re-allocation decisions based the statistics which are gathered by the monitoring module and stored into a storage unit, preferably, a network area storage (NAS). On top of local schedulers, a global scheduler is responsible to take appropriate workload placement and intra-clusters migration decisions. Note that, the global scheduler uses data and statistics received from local schedulers in such affective decisions. Both schedulers use some kinds of VM placement and consolidation techniques in order to optimise various objectives. A single scheduler may take more appropriate workload allocation and migration decisions – as it has all statistics, knowledge and data of the clusters [17]; while a distributed scheduler involves additional costs of communication. Forthcoming sections describe the proposed policies for VM placement and consolidation.
3.1 VM Placement

When a VM request is received, the proposed allocation strategy looks for a cluster that could run the VM on the lowest price based on energy source and/or electricity price for various locations. For example, if cluster A is fuelled through renewable while cluster B is using grid energy; then, cluster A is selected for the placement. Similarly, if electricity prices at location B′ (location of cluster B) are lower than location A′ (location of cluster A); then, cluster at location B′ (i.e. cluster B) is preferred for allocation. To ensure further energy savings, most utilised hosts are allocated first; in order to guarantee that fewer hosts are in use. The process is repeated until an appropriate and economical host is allocated to the VM. In case, the VM request cannot be allocated due to non-availability of the required resources, it is added to the wait queue for scheduling in the next allocation round. The steps involved in the allocation process are described in Alg. 1. For implementational simplification, we can use the power usage effectiveness (PUE) as an evaluation metric to measure the energy efficiency of a particular cluster in relation to electricity sources. This means that a cluster with lower PUE than another cluster is using green energy source to power its infrastructure. From step 1 to 4, clusters are settled up for appropriate allocation decisions through sorting them out on factors such as energy price and source. Step 5 ensures that all hosts in different clusters are sorted based on the available capacity. This guarantees that few hosts are most utilised within the cluster to reduce energy consumption. From step 6 to 14, each VM is placed according to some sort of placement policy e.g. FF, BF, FillUp, etc. If a VM cannot be placed on a switched on hosts (h), then a new host (h′) is switched on and the VM is placed there (step 15 to 17). Unfortunately, if there is no appropriate host (step 18 to 21), then the VM is paced on to a waiting list (W). VMs in the waiting list are rescheduled periodically.

Algorithm 1: FillUp@LS VM allocation policy

| Input: Clusters list (C), Hosts list (H), Wait queue (W), VMs list (V) |
| Output: Price aware VM placement |
| 1 sort C in increasing order of prices (locations and sources) ; |
| 2 for FollowMe@Source, sort C in increasing order of source prices ; |
| 3 for FollowMe@Location, sort C in increasing order of location prices ; |
| 4 for FillUp@LS and FollowMe@LS, sort C in increasing order of source × location ; |
| 5 ∀ clusters ∈ C, sort H ∈ clusters with respect to available slots ; |
| 6 for each vm ∈ V do |
| 7 for each cluter c ∈ C do |
| 8 for each h ∈ H do |
| 9 if h is active and has enough resources to run the vm then |
| 10 allocate vm to h using an appropriate placement policy ; |
| 11 break the loop and pick the next vm ∈ V ; |
| 12 end if |
| 13 end for |
| 14 end for |
| 15 if vm cannot be allocated to any active h ∈ H then |
| 16 start a new h′ ∈ H and assign vm to h′ ; |
| 17 else |
| 18 “vm can not be allocated" ; |
| 19 “add the vm request into W" ; |
| 20 end if |
| 21 end for |

3.2 VM Consolidation

VM consolidation can be achieved through migration [5]. During a migration, a VM is moved from one host to another host. If the VM is transparently being moved while the service inside the VM is running during the migration duration, then the migration is called live [6]. Usually, migrations are used to decrease the energy consumption of datacenter resources through consolidating the workloads on fewer hosts while switching off or turning unnecessary hosts into low power consumption mode. We, here, assume migrations for the purpose of reducing energy consumption, as well as, utilising lower energy prices,
if available. In the first round, we identify all clusters locations and energy sources that fuel them. In the second phase, all under-utilised and over-utilised hosts are marked. VMs are collected for migration, and a particular allocation policy is used to place them where appropriate. The steps involved in the consolidation process are described in Alg. 2. From step 1 to 5, appropriate clusters are identified based on the energy price and source (PUE). Further, details of all hosts are gathered from the storage nodes. From step 6 to 17, these steps are repeatedly run in each consolidation round (periodically). These steps look for migration opportunities and all migratable VMs are placed in a list. From step 18 to 21, all migratable VMs are scheduled for placement using Alg. 1. Note that, Alg. 1 should be modified according to the desirable heuristic approach such first fit (FF), best fit (BF), and FillUp [1]. Approximate and optimal algorithm can also be added to the description of Alg. 1, for more effective, energy, performance and cost-efficient VM allocation.

Algorithm 2: FollowMe@LS VM consolidation policy

Input: Clusters list \( (C) \), Hosts list \( (H) \), Energy sources \( (S) \), Prices \( (P) \)
Output: Price aware VM consolidation

1. foreach cluster do
   2. identify cluster energy sources \( S \);
   3. identify cluster location and electricity prices \( P \);
   4. gather cluster info, hosts statistics and workloads details;
5. endforeach

6. foreach consolidation round do
   7. platform.optimise\( (C,H) \);
   8. \( L \leftarrow \) all VMs that need to be migrated;

   for \( h \) in \( H \) do
   10. if \( h \) is under-utilised | over-utilised then
      11. mark appropriate VMs on \( h \) in cluster;
      12. add marked VMs to \( L \);
   14. end if
   15. end for
6. endforeach

18. for \( vm \) \in L do
19. allocate \( vm \) using Alg. 1;
20. indicate allocation option i.e. source porices, location prices or both;
21. abort migration if target host is not within the same cluster (for intra-cluster migrations);
22. end for

The above consolidation approach can migrate VMs either: (i) inside a particular cluster (amongst different hosts); or (ii) across several clusters (amongst various hosts which may belong to different clusters). The former one is known as intra-cluster migration while the latter one is called inter-clusters migration. From implementation point of view, intra-cluster migrations can be assumed as a migration control policy – a check over the target host in Alg. 1; if the target host is not within the same cluster as the source host, then, the migration can be aborted and the next migratable entity is selected from the list of all migratable VMs [18]. However, reducing the number of migrations could leave the cluster resources more stranded; therefore, may lead to lower energy efficiency. There are various ways to reduce the number of stranded resources e.g. backfilling; which allows to put VMs/jobs with certain characteristics to fill the gaps. More formally, the scheduling heuristic can be optimised to account for these gaps through sorting out the list of VMs in a particular order. The former one is most suitable for on-line problems while the latter one is most appropriate for off-line problems. In Sec. 6, we describe various scheduling heuristic approaches. The proposed allocation and migration policies can be easily modified to account for a particular choice. In our evaluation, we perform both kinds simultaneously; as putting a constraint over the migration can reduce migration opportunities and, therefore, is less economical. Increased number of migrations may mean lower performance because a VM migration can reduce the running workload performance approximately 10% [18]. Besides performance degradation, migrations also consume additional
energy because two VMs are running for the duration of the migration [19]. In our evaluation, we account for migration energy and performance costs both. Moreover, we also account for performance variations (in applications’ runtimes) which may happen due to CPU architectural design and heterogeneity, as described later in Sec. 4.3.

3.3 Implementation Methodology

Despite the large volume of research available on VM consolidation with migrations, there are only few software tools available on-line that support consolidation and are used to design geographically distributed clouds. In the literature, the earliest open-source implementation of server consolidation is Entropy⁴. A second framework for VM management in private clouds called Snooze⁵. A third open-source implementation of OpenStackNeat⁶, a framework for server consolidation in OpenStack clouds. An overview of these consolidation systems can be found in our previous studies [1], [20]. We believe that a discussion of such tools and implementation will help our readers to understand how the proposed resource management techniques (allocation, consolidation through migrations) would be implemented in real production cloud environments.

The main requirement for the implementation of the proposed algorithms is that a full and functional real test-bed, which runs a hypervisor along with any cloud management tool [such as Entropy, Snooze, OpenStackNeat], is available. Furthermore, for these systems, the global manager must be installed on a particular server that runs on top of several local managers which are running over servers connecting different clusters. Then, for each cluster the local manager does the same job of a global manager connecting various servers [21]. The consolidation technique might be implemented in a distributed fashion by running the consolidator part (i.e. VM selection and under-load/overload detection algorithms) on every compute host and the other part (i.e. VM allocation algorithm) on a separate controller host. The core of the OpenStack lies in the compute module (Nova), which is responsible for VMs provisioning and management. During VMs provisioning, Nova uses Glance that is a repository for instance types. The Nova scheduler is responsible for VMs placement onto hosts that, by default, uses either: (i) the chance/random mechanism; or (ii) the filter & weight approach. This scheduling approach can be easily replaced with the proposed policy – weight the available hosts with respect to slots available (utilization), their energy consumption and performance. Nova compute provides key metrics such as: (i) hypervisor-based metrics [hypervisor_load, current_workload, running_vms, vcpus_available]; (ii) tenant-based metrics [total_cores_used, total_instances_used]; and (iii) Nova server-based metrics [hdd_read_req]; that can be useful to determine resource utilization, energy consumption and performance⁷. External monitoring tools such as Zabbix⁸, Ganglia⁹ and DataDog¹⁰ can also be used to get usage data at specific intervals (e.g. 5 minutes) that the scheduler can use in VM placement decisions.

In a virtual platform, the hypervisor, that has access to all VMs, is responsible to consolidate the workload (VMs) when needed. Nova and docker support both cold (off-line) and live migration of VMs; and the migration approach can be located in the Nova manager API. In order to implement the proposed approaches, the code needs to be modified in two ways: (i) migrations can be triggered automatically each after 5 minute intervals; and (ii) the data collected by the monitoring API can be used by the scheduler to place migrated VMs to destination hosts. Beloglazov et al. [44] proposed a framework based on the OpenStack project that is able to initiate VM migrations (global manager – controller node) based on the host utilization thresholds (local manager – compute node). The proposed framework has data collector APIs that are responsible to send compute nodes statistics to the global manager for VM migration and placement decisions. Another framework for software consolidation, which closely resembles our proposed framework, has been suggested in [21]; where each host and VM has a monitoring agent that gathers local statistics and send them to the monitoring engine. The consolidation manager runs periodically, on a separate host along with the monitoring engine; collects data from the monitoring engine in order to decide reconfiguration plans (migrations) and informs the local manager (on each host or VM) to take appropriate action. The prediction models of our framework can be considered as part of the consolidation manager; while energy and performance data is collected on every host or VM and stored on a shared storage.

⁴ http://entropy.gforge.inria.fr/
⁵ http://snooze.inria.fr/
⁶ http://openstack-neat.org/
⁷ https://www.datadoghq.com/blog/openstack-monitoring-nova/
⁸ https://www.zabbix.com/zabbix_agent
⁹ http://ganglia.info/
¹⁰ https://www.datadoghq.com/
4 Performance Evaluation

We modelled and simulated geographically distributed clouds in order to evaluate the performance of the proposed allocation “FillUp@LS” and consolidation “FollowMe@LS” policies. To ensure accuracy, plausible simulations were based on plausible and realistic models and real workload traces. CloudSim [22] is one of the most widely used simulator in the cloud research community, which offer an easy way to model distributed clusters. We consider real workload traces from the Google cluster [23] and Microsoft Azure [24]. The former one is captured in a containerised platform\(^{11}\) while the latter one comprises of records of VM instances\(^{12}\). In both datasets, each task (assumed as running part of a particular workload in a container or a VM) has certain characteristics like arrival or submission time, resource (CPU, memory, disk) demand and actual usage, submitting user, and finish time. Moreover, both datasets include seasonal aspects, burstiness, and other important features that can be of interest. For our study, VM arrival times (arrival rate), resource usage, and execution times are very important. The execution time or runtime of each task is computed through subtracting its submission time from the finish time. Note that, in our simulations the arrival time of each VM exactly matches the arrival of tasks in these datasets. Moreover, as users pay for their resources based on their capacities and usage time (PAYG model); thus, we believe that VM execution time can be a good performance metric for certain applications.

The placement policy assign a given workload trace (assuming inside a VM) to an appropriate host. The consolidation policy then ensures to transform the cluster state to an ideal one - which consume less energy due to fewer hosts in use. Consolidation is achieved through VM migrations [6]. Various heuristic methods such as first fit (FF), best fit (BF), FillUp [19], are then used to see the impact of allocation and consolidation policies over the energy consumption, prices, profits, and workload performance. Albeit, heuristics may not produce optimal results; however, they are demonstrated quite fast and quick as compared to optimal algorithms, particularly, for large-scale on-line problems [6]. Besides these, we also discuss two different approaches to migrations: (i) intra-cluster - migrate VMs across different hosts that belong to a particular cluster, only; and inter-clusters - migrate VMs across different hosts that may belong to various clusters in different geographical areas.

4.1 Evaluation Metrics

We consider total number of migrations (intra-cluster and inter-clusters), energy consumption (KWh), workload performance (execution time measured in minutes or hours), energy bills (in dollars), and user’ service costs (in dollars) as the performance evaluation metrics.

4.2 Experimental Set-up

In order to evaluate the proposed algorithms, we modelled a geographically distributed IaaS cloud with 4 datacenter sites, as shown in Table 1, and each cluster in different geographical location have 2,500, 3,000, 4,000, and 5,000 heterogeneous hosts, respectively. All datacenters are interconnected to each other using same network bandwidth i.e. 1 Gbps, but, having different network distances i.e. communication costs. These costs matter, in particular, during moving workloads among different clusters. We assume that the global scheduler is aware of all these distances. Each datacenter has a unique PUE. The PUE values refer to the work presented in [25] which represent the energy efficiency (source) of a particular cluster in a specific geographic area. Note that, PUE is used here as notion to represent the source of energy which, in practice, is not essential. These hosts relate to 7 different architecture types (CPU models) and shown in Table 2. Furthermore, we assume electricity prices at certain locations that reflect the real market prices in the United States\(^{13}\). In reality, prices vary with respect to time of the day. However, for implementational simplification, we assume that these prices remains unchanged. The energy consumption of these hosts relate to real benchmarked values at various utilisation levels from the SPECpower\(^{14}\). In order to account for peak demand and burstness of the workloads, the arrival time and inter-arrival ratio of VMs exactly match the submission times and inter-arrival rate of tasks in both real datasets; with the only exception of VMs wait times in the queue. This means that the placement policy deals with unknown workloads (VMs); however, the consolidation policy runs over known VMs (reserved) to pack them onto available servers in a more appropriate way.

\(^{11}\) https://github.com/google/cluster-data
\(^{12}\) https://github.com/Azure/AzurePublicDataset
\(^{13}\) https://www.eia.gov/electricity/
\(^{14}\) www.spec.org
Table 1: Datacenters geographical locations, energy sources and prices

<table>
<thead>
<tr>
<th>Datacenter site</th>
<th>Energy price (cents/kWh)</th>
<th>Energy source (PUE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>DC1-Richmond Virginia</td>
<td>6.54</td>
<td>1.9</td>
</tr>
<tr>
<td>DC2-San Jose California</td>
<td>10</td>
<td>1.7</td>
</tr>
<tr>
<td>DC3-Portland Oregon</td>
<td>5.77</td>
<td>1.56</td>
</tr>
<tr>
<td>DC4-Dallas Texas</td>
<td>6.1</td>
<td>2.1</td>
</tr>
</tbody>
</table>

Table 2: Various characteristics of hosts for Amazon’s cloud (simulated)

<table>
<thead>
<tr>
<th>CPU model</th>
<th>Speed (MHz)</th>
<th>No of cores</th>
<th>No of ECU's</th>
<th>Memory (GB)</th>
<th>P_{\text{atts}} (Wh)</th>
<th>P_{\text{max}} (Wh)</th>
<th>Amount DC1 (Wh)</th>
<th>Amount DC2 (Wh)</th>
<th>Amount DC3 (Wh)</th>
<th>Amount DC4 (Wh)</th>
</tr>
</thead>
<tbody>
<tr>
<td>E5-2630</td>
<td>2,300</td>
<td>12</td>
<td>27.6</td>
<td>128</td>
<td>99.6</td>
<td>325</td>
<td>2,500</td>
<td>3,000</td>
<td>4,000</td>
<td>5,000</td>
</tr>
<tr>
<td>E5430</td>
<td>2,830</td>
<td>8</td>
<td>22.4</td>
<td>16</td>
<td>166</td>
<td>265</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E5-2620</td>
<td>2,000</td>
<td>12</td>
<td>24</td>
<td>32</td>
<td>70</td>
<td>300</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E5645</td>
<td>2,400</td>
<td>12</td>
<td>28.8</td>
<td>16</td>
<td>63.1</td>
<td>200</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E5-2650</td>
<td>2,000</td>
<td>16</td>
<td>32</td>
<td>24</td>
<td>52.9</td>
<td>215</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E5-2670</td>
<td>2,600</td>
<td>16</td>
<td>41.6</td>
<td>24</td>
<td>54.1</td>
<td>243</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E5540</td>
<td>2,500</td>
<td>4</td>
<td>10</td>
<td>72</td>
<td>151</td>
<td>312</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The speed of each host is then, mapped to millions of instructions per second (MIPS) in order to be consistent with the simulation platform i.e. CloudSim [22]. Each host is modelled as virtualised which has the capability to run several VMs subject to the host’s capacity - also known as the notion of VM density somewhere else [26]. The performance parameters for these various hosts running different applications (benchmarking over real IaaS experiments) are shown in Table 4. We assume different sizes of VMs (instance types) as shown in Table 3 – that reflect Amazon Web Services (AWS) instance types; while their performance, in terms of execution times, on various hosts are shown in Table 4. Each VM costs a particular user depending on the resource capacity and geographical location [1]. To evaluate the performance of the proposed policies under this plausible simulation environment, we use real cluster data traces from Microsoft Azure cloud [24] and Google [27]. For more realistic scenarios, these workloads were mapped to different applications, using statistical methods, as described later in Sec. 4.3. The former one is logged in a virtualised platform while the latter one is logged in a containerised platform. Furthermore, the former one consists of tasks with longer runtimes; while the latter one consists of tasks with short durations. Each workload consists of more than a million tasks and each task has certain characteristics such as runtime, schedule time, resource requirements etc. We further assume, that all tasks uses their CPU resources using a built-in CloudSim model i.e. stochastic utilisation approach [28]. As a whole, we assume all tasks in a workload as a single application whose execution time is the sum of all tasks’ execution times. Further, we assume that each VM can run at most one task at a time. These assumptions allow us to map application execution in a cloud environment, and we believe that these are sensible ways to carry out these in a simulated platform.

Table 3: Amazon different instance types and their characteristics

<table>
<thead>
<tr>
<th>Instance type</th>
<th>No of vCPUs</th>
<th>No of ECU's</th>
<th>Speed (MHz)</th>
<th>MEMORY (GB)</th>
<th>Storage (GB)</th>
<th>Reserved price (1 year) ($/hour)</th>
</tr>
</thead>
<tbody>
<tr>
<td>t2.nano</td>
<td>1</td>
<td>1</td>
<td>1,000</td>
<td>0.5</td>
<td>1</td>
<td>0.006</td>
</tr>
<tr>
<td>t1.micro</td>
<td>1</td>
<td>1</td>
<td>1,000</td>
<td>0.613</td>
<td>1</td>
<td>0.02</td>
</tr>
<tr>
<td>t2.micro</td>
<td>1</td>
<td>1</td>
<td>1,000</td>
<td>1</td>
<td>1</td>
<td>0.013</td>
</tr>
<tr>
<td>m1.small</td>
<td>1</td>
<td>1</td>
<td>1,000</td>
<td>1.7</td>
<td>160</td>
<td>0.044</td>
</tr>
<tr>
<td>m1.medium</td>
<td>1</td>
<td>2</td>
<td>2,000</td>
<td>3.75</td>
<td>410</td>
<td>0.087</td>
</tr>
<tr>
<td>m3.medium</td>
<td>1</td>
<td>3</td>
<td>3,000</td>
<td>3.75</td>
<td>4</td>
<td>0.067</td>
</tr>
</tbody>
</table>
In order to optimise the states of various clusters and minimise the energy consumption, we assume that VMs are being migrated: (i) inside a cluster (amongst hosts within a single, particular, cluster); and (ii) across several clusters (amongst hosts that may belong to different clusters). Such events occur when the resource utilisation levels of hosts increases or decreases some pre-defined threshold values. The former case avoids performance degradation due to resource over-subscription and the later one can switch off under-utilised hosts to save energy. We assume that over-subscription does not happen due to ways and constraints over VM placement i.e. a VM cannot be placed on a host which does not have enough capacity to run it. For the later one, we set a threshold of 20% i.e. if utilisation level of a host decreases than 20%; then, all accommodated VMs or workloads on this host are migrated to some other host. Moreover, if there are rooms to run VMs on cheaper energy on a particular cluster; then, appropriate VMs from other clusters are being migrated here. The optimisation module runs periodically each after 5 minute intervals and looks for migration opportunities. Furthermore, other approaches, such as on-demand, can also be used to trigger migrations and optimise the states of the datacenters. Very frequent runs of the optimisation modules may significantly affect the total number of migrations, therefore, applications’ performance, and infrastructure energy consumption.

4.3 Simulation Models
This section describes how energy consumption of hosts/VMs and performance of hosts/VMs are modelled for simulation purposes. Furthermore, we also discuss how migration happens and its impact on energy consumption and workload performance degradation. These models are selected in such a way that a plausible and realistic simulation platform can be developed to ensure accuracy of the obtained results and outcomes.

**Energy consumption:** We use real benchmarked values from SPECpower\textsuperscript{15} for the energy consumption of various servers, as shown in Table 2. However, the energy consumption of each VM is computed, using the linear power model, as given by Eq. 4:

\[
P_{VM} = \left( \frac{P_{idle}}{N} \right) + W_{VM} \times (P_{peak} - P_{idle}) \times U
\]

where \(P_{idle}\) and \(P_{peak}\) denote the energy consumption of a particular server when it is 0% and 100% utilised, respectively. Note that, the server energy consumption values were taken from the SPECpower benchmarks that are noted at various utilisation levels i.e. 0%, 10%, 20%, 30%, and so on. Moreover, \(W_{VM}\) is the fraction of host resources allocated to a particular VM e.g. number of cores or vCPUs; and \(N\) refers to total number of VMs on a particular host. This model equally divide the idle power consumption of a host among various VMs running on it; however, more fair and approximate division may be possible \[14\]. The energy consumption of a VM migration is computed according to the model presented in \[29\] - energy use is proportional to the amount of VM memory (\(V M_{data}\)) to be moved from source to destination server; and is given by Eq. 5:

\[
E_{mig} = 0.512 \times V M_{data} + 20.165
\]

The above model is validated for intra-cluster migrations; however, inter-clusters migration will usually take longer depending on the network conditions. For the latter case, we compute the migration time through dividing the VM data by the network bandwidth (assuming constant). Later on, the time is translated to energy consumption of the network plus source and destination hosts. To simulate VM migration across several clusters (migration time and downtime), we integrated the migration model used in \textit{VmigSim} simulator\textsuperscript{16} in CloudSim \[22\]. The \textit{VmigSim} simulator offers a realistic environment to mimic on-line VM migration (pre-copy) in different rounds; using various parameters for network, VM memory, page dirty rate, etc. Further details on the migration modelling, approaches, calculating durations and downtimes can be found in our previous works \[5\], \[14\].

**Performance:** As investigated in \[16\], workload performance vary with respect to CPU platform i.e. similar workloads (applications) will run differently on same or different VMs (instance classes) accommodated on servers having different CPU architectures, as shown in Table 4. The mean (\(\mu\)), standard

\textsuperscript{15}https://www.spec.org/power_w2008/

\textsuperscript{16}http://www.github.com/
deviation (σ), minimum (Min), and maximum (Max) runtimes (performance) of three different applications are shown in Table 4. The coefficient of variance (CoV) is computed through dividing σ over the μ; the smaller ones denote lesser variations in runtimes. The benchmarked values denote a log-normal distribution [16]. Therefore, to represent CPU heterogeneity and host performance, we also assume that workload runtimes on different hosts are log-normally distributed. From implementation point of view, when VMs are being migrated from one host to another; the increase or decrease in runtime is computed from a log-normal distribution dataset. The process comprises translating the remaining execution time of a particular application running on a server (source) to equivalent execution time on a destination server. This could be done through the standard score (or more formally the z-score normalisation method) [5]. The standard score, as given by Eq. 6, is normally used to calculate the probability or likelihood of a particular score (r) which occurs in the interior of different datasets (normally distributed), given its statistics like mean (μ) and standard deviation (σ). Furthermore, z-score also provides a way to relate more than one scores with may or may not belong to various datasets which are, essentially, normally distributed.

\[ z_{\text{score}} = \frac{r - \mu}{\sigma} \]  

Eq. 7 could be utilised to compute the expected execution time of a migrated application (workload), from the source server, on the destination server given their distributions (usually normally distributed) along with their statistical means (μ, μ’) and standard deviations (σ, σ’) of source and destination servers, respectively.

\[ \frac{r - \mu}{\sigma} = \frac{r' - \mu'}{\sigma'} \]  

Note that, both r and r’ denote the estimated runtimes of the migrated application on the source and destination servers, respectively. Furthermore, the left hand and right hand sides of Eq. 7 narrate to the standard scores of the source and destination servers, respectively. The above mathematics allows us to predict the probable scores i.e. VM runtimes (translating to the expected increase or decrease in applications’ performance on the destination server) occurring within a dataset which is essentially normally distributed. Note that, the dataset consists of the performance (runtime) dissimilarities due to resource, workload and/or platform heterogeneities, as shown in Table 4. The above Eq. 7 can be rewritten as Eq. 8, in order to compute the expected execution time (or workload performance - r’) of the migrated application on the destination server given its estimated remaining execution time (r) on the source host:

\[ r' = \sigma' \times \left\{ \frac{r - \mu}{\sigma} \right\} + \mu' \]  

For log-normally distributed datasets, both r and r’ should be replaced with log(r), log(r’) subject to the mathematical definitions of both normal and log-normal distributions [5]. For log-normally distributed datasets, the estimated execution time (r’) can be calculated using Eq. 9:

\[ r' = \exp \left( \sigma' \times \left\{ \frac{\log(r) - \mu}{\sigma} \right\} + \mu' \right) \]

We are aware that there would be more effective ways to estimate and predict the estimated or remaining runtimes of applications. Moreover, the overlaps which may exist in the performance of multiple servers for similar applications can also be accounted for. Methods like euclidean distance can be used to face similar overlaps. However, we keep it simple and, thus, assume no overlaps. These overlaps can be assumed as redundant data and methods like the euclidean distance can possibly remove these overlaps. However, this needs further investigation in order to associate these redundant data points (runtimes) with an appropriate CPU model instead of ignoring it at all. For example, under what conditions/parameters a particular application will essentially perform the same on two or more than two different servers and vice versa. Application performance is very important, in particular, when users pay for their resources using a PAYG model. As, application runtimes play a major role in cloud business economics (users pay for resource usage based on time); thus, we believe that execution time can be a good and more appropriate performance measurement unit to IaaS providers.

Furthermore, we assume a 10% performance degradation in the workload of each VM which is being migrated intra-cluster [28]. To do so, we use the concept of z-score normalisation and the law of log-normal distribution, as described in our previous works [5], [14]. For intra-clusters migration, the downtime of each migrated VM is translated to an equivalent degradation, as discussed in the above section. Besides these, VMs competing for similar resources (when running same workloads) while co-located on a single host may also suffer from severe performance degradation [31]. However, to make it simple, we do not
Applications: In order to make our simulations more realistic, we mapped the Google [23] and Microsoft Azure [24] workloads to certain benchmarked applications in a real IaaS cloud. To do so, we used the performance values (means, standard deviations), as presented in [16], of three real applications i.e. Bzip2, Stream, and Povray. The authors suggest that performance of various instances in AWS EC2 cloud on similar CPU architecture significantly varies and can be modelled as log-normally distributed. Furthermore, combination of various CPU models and instance types produces multi-modal distributions. Since, the offered data is not enough to get findings; therefore, we ran monte-carlo simulations to produce more data using the laws of normal and log-normal distributions. In next steps, the actual benchmarked values (i.e. application runtimes) and tasks’ runtimes in both workloads i.e. Google and Microsoft Azure, were put into appropriate multimodal distributions (log-normal). Finally, close similarities in the distributions were assumed as tasks which may belong to certain real benchmarked applications on different CPU platforms [5]. For example, Fig. 3 (left hand side) demonstrates the actual benchmarked runtimes of the Povray application and the tasks’ runtimes which belong to certain records in the Google dataset. After normalising these values, the Google tasks’ runtimes were mapped to the performance of the Povray application on appropriate hosts, as shown in Fig. 3 (right hand side). Note that, Table 4 describes the performance parameters of three applications over different CPU platforms. Similarly, Fig. 4 mimics the performance of the Stream application, mapped to Microsoft Azure cloud dataset, over different CPU architectures. Various distributions were converted onto the same scale and, then, mapped using a simple visualisation methods through identifying the total number of peaks, multi-modals, and thus CPU platforms and architectures [5].

Apart from the above discussion, co-located VMs on a particular server may experience severe performance loss, specifically, if the hosted virtualised applications compete for similar resources (also known as resource interference). Xu et al. [15] empirically evaluated and observed that the performance loss is strongly dependent on the total number of co-located VMs and the application type they are exe-
Fig. 3: Mapping the Google data to real benchmarks (left) and plausible assumptions for choosing appropriate hosts (right) [5] [POVRAY workload performs best on E5430 and worst on E5645]

Fig. 4: Mapping the Microsoft Azure data [24] to real benchmarks and plausible assumptions for choosing appropriate hosts [STREAM workload performs best on E5-2650 and worst on E5-2630]

cutting on a particular server i.e. the more number of VMs co-located on the server, the worse will be its performance and vice versa – as shown in Table 5. It is also essential to account for such resource interference and contention costs among different servers. From an implementation point of view and in order to model performance variations of various applications on different CPU platforms, we model: (a) resource interference as a simple regression line equation with respect to total number of co-located VMs on a particular server for certain types of applications – based on prior studies in [15], [32]; and (b) CPU platform heterogeneity as log-normally distributed with respect to application runtimes based on prior research and findings [5], [16]. The above mathematical models were used to design a sensible, and realistic simulation environment i.e. PerficientCloudSim [34]. Moreover, datasets were mapped to cloud applications using plausible assumptions. Similarly, resource and application heterogeneities, in terms of performance degradation, were modelled using sensible ways to certain benchmarked results as demonstrated in prior studies [16].
Table 5: Execution times (seconds) of various applications on co-located VMs [15]

<table>
<thead>
<tr>
<th>Workload type</th>
<th>CPU model</th>
<th>Number of co-located VMs</th>
<th>Execution times</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>2</td>
<td>4</td>
</tr>
<tr>
<td>Grep</td>
<td>E5620</td>
<td>13</td>
<td>14</td>
</tr>
<tr>
<td></td>
<td>E7420</td>
<td>20</td>
<td>22</td>
</tr>
<tr>
<td>Sort</td>
<td>E5620</td>
<td>16</td>
<td>22</td>
</tr>
<tr>
<td></td>
<td>E7420</td>
<td>21</td>
<td>28</td>
</tr>
</tbody>
</table>

4.4 Experimental Results

Table 6 describes the results which we get in the simulated environment for various resource allocation and migration techniques. When no migration is considered, then the placement policy “FillUp” could save approximately 10.47% more energy with marginal improvement in workload performance i.e. 0.05% than the classical “FF” algorithm. However, when the allocation is aware of the energy prices and sources; then, energy savings increases (∼14.2%) along with performance further gains (∼0.35%). This demonstrates that workloads are largely placed in DC3 which has the lowest energy prices and PUE. Furthermore, DC2 is the most expensive one, therefore, placement is avoided there. When migrations are taken into account, variations in energy savings across different approaches to placement and migration policies is observed. Interestingly, if we migrate for better energy prices, then energy savings (8.14%) are greater than if we migrate for greener sources (2.06%) instead. This trade-off can be adjusted through the proposed “FollowMe@LS” policy with an approximate savings of 7.7% than “FF” policy. These savings are possible at essential loss in performance (0.37% – 1.52%) that might be non-trivial for certain kinds of application workloads. On average, the savings made by the proposed placement policy can be up to 15.26%, compared to FF approach.

Table 6: Energy consumption in KWh using the Google workload traces [the ‘+’ sign denotes performance improvements or energy efficiency while the ‘–’ sign represents loss in performance or energy costs]

<table>
<thead>
<tr>
<th>Management Policy</th>
<th>Energy consumption (KWh)</th>
<th>Savings (%)</th>
<th>Exec. Time (hours)</th>
<th>Performance gain/loss (%)</th>
<th>Number of migrations</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>DC1</td>
<td>DC2</td>
<td>DC3</td>
<td>DC4</td>
<td>Total</td>
</tr>
<tr>
<td>No migrations</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FF</td>
<td>448.9</td>
<td>588.33</td>
<td>863.34</td>
<td>1,004.09</td>
<td>2,904.66</td>
</tr>
<tr>
<td>BF</td>
<td>455.56</td>
<td>562.89</td>
<td>880.77</td>
<td>989.3</td>
<td>2,888.52</td>
</tr>
<tr>
<td>FillUp</td>
<td>399.45</td>
<td>541.58</td>
<td>820.78</td>
<td>841.66</td>
<td>2,603.47</td>
</tr>
<tr>
<td>FillUp@LS</td>
<td>349.59</td>
<td>286.8</td>
<td>1,007.45</td>
<td>1,045.7</td>
<td>2,689.54</td>
</tr>
<tr>
<td>FollowMe@Location</td>
<td>502.06</td>
<td>284.55</td>
<td>1,289.41</td>
<td>592.06</td>
<td>2,668.08</td>
</tr>
<tr>
<td>FollowMe@Source</td>
<td>349.87</td>
<td>702.43</td>
<td>1,288.77</td>
<td>503.67</td>
<td>2,844.74</td>
</tr>
<tr>
<td>FollowMe@LS</td>
<td>481.34</td>
<td>282.88</td>
<td>1,017.78</td>
<td>898.87</td>
<td>2,680.87</td>
</tr>
</tbody>
</table>

Furthermore, we observed that migrations can be expensive and it would be more economical not to migrate. This is in line and consistent with our previous findings in [19]. For example, for “BF” and
“FillUp@LS” policies, migration could be approximately 4.02% and 6.24% expensive than no migration approach, respectively. Similarly, intra-clusters migrations are triggered more than intra-cluster migrations that could increase energy savings as high as 3.4%. However, for more tight packing (allocation policy i.e. “FillUp”), and considering migration costs; an approximate 1.68% loss in energy savings is expected in intra-clusters migrations, as well. Table 6 shows the total number of migrations for both migration opportunities. Fig. 5 and Fig. 6 show the total infrastructure energy cost and users monetary costs, respectively. Both figures demonstrate that “FollowMe@Ls” balances the trade-off between moving workloads for sources and prices. It is possible to modify the proposed policies further to avoid expensive migrations in order to maintain user costs [26]. Moreover, additional constraints in placement and migration decisions, such as: (i) migrate only to a renewable with the least energy prices; or (ii) migrate only if target hosts/clusters are more economical (energy, performance and cost efficient); and etc. This would certainly improve cost savings, in terms of energy bills, while maintaining the expected levels of workload performance.

Fig. 5: Infrastructure costs - The corresponding PUE for each cluster was used to compute the energy used in non-computation infrastructure, such as cooling, and other facilities [left: no migration – right: with migrations]

Fig. 6: User monetary costs [left: no migration – right: with migrations]

4.5 Results Discussion

In this section, we briefly describe the impact of various allocation and migration policies in the infrastructure energy consumption, given different prices and sources for energy. We also ascertain how workloads would affect the evaluated metrics. Table 7 shows various results which we obtained for another workload trace, offered from Microsoft Azure cloud [24]. These results are largely consistent with our previous outcomes; however, different impacts on energy consumption and performance can be seen very clearly. For example, when no migration are considered, then the proposed placement approach “FillUp@LS” can
save ~ 15.26% energy along with 0.74% improvements in performance. However, for migration scenarios, energy efficiency is negatively impacted (~3.44%), albeit with trivial performance improvements (1.98%). This is possibly caused due to the long-running behaviour of tasks in the workload type. Since, if workloads run for longer, they will absolutely consume more (even if they are placed on to resources powered by renewables). Moreover, if workloads run for longer, then, migration opportunities are decreased; thus resulting in lower energy efficiency.

Fig. 7 and Fig 8 sketches a view of entire infrastructure energy bill and service costs paid by the customer for this particular workload type. These results demonstrate that migrations might be affective to decrease providers’ energy bills; however, this has a negative impact on user costs i.e. service level agreements (SLAs). Violating SLAs may subsequently result in switching customers to other providers or, at least, penalties to the service provider. Both these options are not cost and revenue-effective for cloud providers, in particular, public providers. Besides these, and without migrations, various placement policies can result is various revenues for providers; and also customers. Again, the savings achieved through affective placement policies are significantly larger than the savings obtainable through migration techniques. Note that, in Table 7, “FollowMe@Location” policy migrates the workloads to geographical area with lower energy prices; that reduces energy efficiency; however, providers will still pay less for higher energy consumption. On the other hand, “FollowMe@Location” policy puts workloads on energy efficient clusters, which does not essentially indicates lower prices and high revenues for customers and providers both. Through mixing “FollowMe@Location” and “FollowMe@Source”, benefits of both approaches could be achieved. For example, “FollowMe@LS” prefers to put or migrate workloads to hosts/clusters that has the least product of energy prices and renewables sources.

Finally, Fig. 9 demonstrates the impact of various policies on energy consumption of different clusters given different energy prices, sources and workloads. For placement policies, various placement options have different energy consumption values. However, different workloads have non-trivial impacts on the infrastructure energy consumption. This creates a further gap for investigation, that what workload types should be run on which resources, or, geographical clusters. For example, certain applications e.g. real-time, might not be delayed for the availability of renewables in public clouds. Similarly, their migrations to other geographical areas may also not be feasible due to strict deadlines. Besides, it is a fact that long-
running workloads over energy efficient clusters would consume more, subject to loss in performance. In the same way, short-running workload may frequently migrate (or trigger optimisation of the datacenter state frequently), which may consume more, subject to number of migrations and their costs [5], [14]. However, energy efficiency and performance would differ if workloads utilises their resource (such as CPU, memory, disk) differently. These workloads’ challenges will essentially force service providers to think for other ways and placement/consolidation policies in order to manage their infrastructure located in different geographical areas and powered by various energy sources. These experiments and outcomes also denote the scalability of our approach in terms of large-scale heterogeneous systems, various workloads types and different parameters. However, the complexity of the algorithms will essentially increase with an increase in number of clusters (geographical locations), hosts within these clusters and users or demand for services.

4.6 Comparison with the Closest Rivals

Table 9 sketches a comparison of the proposed approach “FollowMe@LS” and state-of-the-art placement methods such as: workload shifting algorithm (WSA) [11]; energy and carbon efficient (ECE) VM placement [12]; and cost and renewable aware with dynamic PUE (CRA-DP) placement [25]. These methods account for energy prices and sources, but, migrations (both intra-cluster and inter-clusters) are not explored. Our approach could save significant amount of energy (approximately 8.7% – 16.9% more than other approaches), but, with non-trivial performance loss (0.24% – 0.69%). Albeit, we observed performance gains in certain scenarios; however, the mean value is the worst due to large variations among various iterations – as denoted by the largest standard deviation value i.e. 4.78. This degradation can be minimised further through incorporating some sort of migration control policies in order to avoid costly migrations. For example, migrations of relatively long-running VMs to more energy and/or performance
efficient hosts might be preferred due to their higher chances of recovering their migration costs \[20\]. Larger energy savings will translate to higher profits, environmental friendly resources, service reputation, and revenue for service providers; however, performance loss will have impact on user satisfaction and providers revenues as well. Apart from these, we observed that if migrations are reduced to only intra-cluster methodology; then, our approach outperform all these methods in terms of various evaluation metrics i.e. energy efficiency, performance loss and user monetary costs. This is possibly due to lower migration overheads (short distances) and higher opportunities.

Table 8: Comparison with the closest rivals accounting for both intra-cluster and inter-clusters migrations [the \(\pm\) sign denotes the standard deviation across various runs – the lowest values are best]

<table>
<thead>
<tr>
<th>Approach</th>
<th>Energy consumption (kWh)</th>
<th>Performance (hours)</th>
<th>Users' costs ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>WSA [11]</td>
<td>3.689.54 (\pm) 541.34</td>
<td>1.099.8 (\pm) 3.44</td>
<td>38.77</td>
</tr>
<tr>
<td>ECE [12]</td>
<td>3.731.01 (\pm) 202.7</td>
<td>1.103.77 (\pm) 0.75</td>
<td>42.54</td>
</tr>
<tr>
<td>CRA-DP [25]</td>
<td>3.394.79 (\pm) 186.93</td>
<td>1.104.81 (\pm) 2.91</td>
<td>41.40</td>
</tr>
<tr>
<td>FollowMe@LS</td>
<td>3.099.33 (\pm) 126.43</td>
<td>1.107.45 (\pm) 4.78</td>
<td>39.04</td>
</tr>
</tbody>
</table>

4.7 Time Complexity

In Alg. 1, initially all sources and locations are sorted with respect to prices taking \(O(n^2)\) time in the worst case while \(O(n)\) in the best case. In this algorithm, the inner ‘for loop’ is for host “h” is within the outer ‘for loop’ for cluster ‘c’; therefore, its worst case complexity is \(O(n^2)\). Since, the VM list is in outer main loop which executes for each placement decision i.e. the inner loop executes. Therefore, the overall worst case execution time is given by: \(T(n) = O(n) \times O(n^2) = O(n^3)\). Usually, sorting takes \(O(n^2)\) time in the worst case, but the incorporated nested three loops will also take \(O(n^3)\) time, in the worst case. Usually, the number of clusters or geographical locations are few enough and can be assumed as a constant; in which case, the average case complexity of Alg. 1 will be \(O(n^2)\). The best case occurs when the VM is placed in the first attempt leading to \(\Omega(n)\). After ignoring lower terms, we have the time complexity equal to \(O(n^3)\). In Alg. 2, from steps 1 to 5 the worst case time complexity is \(O(n)\). From steps 6 to 17, we have time complexity of \(O(n^3)\). For steps 18 to 21, the time complexity is \(O(n)\). As the higher time complexity is \(O(n^3)\), so \(T(n) = O(n^3)\). Again, assuming the number of clusters as constant, the average case complexity of Alg. 2 will be \(O(n^2)\). The best case occurs when a VM is placed in its first attempt in the optimisation phase. The best case complexity will be \(\Omega(mn)\) as \(m\) is the best case for the optimisation phase and \(n\) for the placement i.e. Alg. 1.
5 Summary of Findings, Results Validity and Limitations

In this paper, we proposed a placement policy “FillUp@LS” that puts appropriate workloads on appropriate clusters, according to energy sources and prices. Furthermore, three different consolidation policies “FollowMe@Location”, “FollowMe@Source”, and “FollowMe@LS” are proposed to migrate workloads, across geographically distributed clusters, in an energy, performance, cost effective way. These scheduling policies run in a distributed fashion - the global scheduler communicates with local schedulers to take appropriate workload execution decisions. In Sec. 5.1, we briefly explain our outcomes. Sec. 5.2 talks over precision of the obtained results and Sec. 5.3 describes limitations of our work.

5.1 Major Findings

Through empirical evaluation using real workload traces from public service providers, we observed the following major findings:

- consolidation techniques are usually expensive and have negative impacts on the workload performance, and users' monetary costs;
- better VM allocation approaches could be more energy, performance, and cost efficient than consolidating policies for certain kinds of workloads;
- migrating workloads can be ~15.26% energy efficient; however, “FillUp@LS” (allocation) can be ~28.58% energy efficient than the classical first fit policy;
- migrating only for the lowest costs i.e. “FollowMe@Location” or migrating to only renewable energy sources i.e. “FollowMe@Source” result in a trade-off among energy consumption, workload performance, and users' cost;
- migrating workloads using the proposed “FollowMe@LS” policy reduces approximately 23.89% energy consumption, and ~19.66% users’ costs while increasing ~1.58% workload’ performance, compared to the “no migration” approach; and
- resource management policies produces different outcomes which are strongly dependent on the workload type and how these workloads uses the IaaS resources.

5.2 Results Validity

As demonstrated in our previous studies [1], [33], the developed version of the classical CloudSim simulator can produce approximately 98.63% - 98.99% accurate and precise results as compared to a real IaaS private cloud. The accuracy is computed using appropriate statistical validation and verification approaches. The extended version of the CloudSim simulator which was used in our experimentation i.e. PerficientCloudSim was recently published in [34]; and is publicly available online at the GitHub repository. With this accuracy, it means that approximately ±1.01% – ±1.37% error is expected in our simulated outcomes. With this accuracy, we can easily compute the expected errors in energy or performance efficiencies of various resource management policies. For example, the resource management policy “FollowMe@LS”, which is suggested approximately 23.89% more energy, 19.66% cost effective, and 1.58% more performance efficient than the “no migration” technique, could potentially save approximately 23.89 [±0.24% to ±0.33%] more energy, 19.66 [±0.12% to ±0.27%] users’ costs, and is ~1.58 [±0.016% to ±0.022%] more performance efficient than the “no migration” approach. Note that, the ±0.24 – ±0.33, ±0.12 – ±0.27, and ±0.016 – ±0.022 are approximately 1.01% and 1.37% of 23.89, 19.66, and 1.58, respectively.

5.3 Limitations

The above model has two shortcomings. (I) Cloud datacenters run heterogeneous applications with diverse resource usage, including not only the CPU, but also the memory, the disk, and the network. Those subsystems apart from the processor have been also reported to make up a noticeable part of the total power consumption depending on the workload [35]. In order to avoid models that are specific for CPU-intensive applications, the impact on the power consumption of the rest of subsystems should be also considered. (II) Moreover, the VM CPU utilization is used to characterize the VM workload and to correlate the processor usage with the power consumption. However, the utilization is not the best indicator of the processor usage regarding its correlation with energy consumption, because applications with the same utilization can have different processor energy consumption depending on what instructions they are executing, as reported by Kansal et al. [36].
We are aware of few issues with the proposed framework. First of all, when more and more VMs interact with the proposed scheduler and/or the consolidator then, due to delay in communication or network congestion, the system response might become slow. Slower response will essentially affect the system performance with respect to time and which may, subsequently, affect energy consumption, and users’ costs. This issue is more likely to arise with increase in number of VMs. Secondly, the data maintained on each cluster node is a burden on it that keeps on maintaining and calculating statistical information regarding resource consumption, in addition, to performing its necessary task of job execution. Further, it also needs to update its information with the data server e.g. network area storage (NAS). Imagine hundreds or thousands of cluster nodes which are updating their information on NAS servers, periodically, which will itself generate a lot of traffic and, therefore, burden on the datacenter network. Further research is needed to account for these important issues. Besides these, in Us the energy prices may vary with respect to usage and peak times. This research is limited to static energy prices in four different regions, as shown in Table 1. Further research and investigation is needed when these prices vary across different regions using a hourly or other unknown usage time periods (day and night). Further discussion around future research work is presented in Sec. 7.

6 Related Work

There is a huge amount of research going around to improve energy efficiency and performance for datacenters within the cloud research community. Energy efficiency for a datacenter can be achieved using a three level optimisation i-e, software, hardware, and intermediate level, respectively [37]. The primary two methods used earlier for energy efficiency are VM consolidation [6] and Dynamic Voltage Frequency Scaling (DVFS) [1]. More and more approaches have incorporated these two approaches in a dominant and significant way. Though, the drawback of these methods discusses that they are not good in case of datacenter is overloaded. In overloaded datacenter scenarios, they do not function as required to improve energy and performance efficiencies [38].

The resource management of multi-cloud infrastructure, geographically distributed, is discussed in many approaches in earlier works. A geographical-based load-balancing approach presented by Liu et al. [39] uses renewable energy which helps to reduce the use of brown energy. An infrastructure presented by Toosi et al. [40] tries to balance web based application loads across multiple datacenters where renewable energy is available and aims to reduce overall cost of the electricity. A method for energy and workload management is presented by Chen et al. [41] where aim is to reduce energy and operational costs of the network. Another method presented by Adnan et al. [42] focuses on dynamic workloads’ deferral method targeted for multi-cloud enabling dynamic electricity prices at various locations as well as workload deadlines. A workload based scheduling method proposed by Neglia et al. [43] discusses Markov chains to communicate workloads and renewable energy across geographically placed datacenters. These works presented targets to reduce the overall electricity costs but there is no consideration given to carbon footprints. Moreover, performance is not taken into account. The work presented by us, in this paper, focuses to take advantage of various scheduling and consolidation methods to increase energy efficiency, workload performance, decrease user costs etc.

In VM consolidation, the main aim is to consolidate VMs to fewer hosts in context to resource utilization and energy consumption - reduce energy consumption through increasing the utilisation levels of fewer hosts. This allows more active hosts to run on low power and data is migrated from one host to another host. VM consolidation based OpenStack method proposed by Beloglazov et al. [44] discusses energy efficiency. The approach saves power while the QoS is intact, where multiple heuristics are implemented based on VM consolidation. A combination of DVFS and VM consolidation based energy efficient cloud orchestrator is presented by Rossi et al. [45]. It allows to enhance the balance between power savage and application’s performance. A real time simulation show significant savage of energy usage is observed by the presented orchestrator with slight amount of additional cost. Through application of VM consolidation for energy efficiency, a balance between migration time and energy usage specifically for datacenters placed in geographically distributed locations is achieved. A VM consolidation based work presented by Nguyen et al. [46] discusses usage of multiple prediction based on local heuristics in order to enhance cloud datacenter’s energy efficiency.

In current scenario, main focus and prediction is on resource utilization in order to figure out optimal place for VM consolidation to highlight under loaded or over loaded hosts within the datacenter. For distributed cloud infrastructure, a workload based migration and placement method is proposed by Chen et al. [47]. It focuses on renewable energy availability while to improve performance of the datacenters within the distributed clouds. As compared with the work presented by us, it is only focused on batch workload and
there is no attention provided towards carbon footprints. Cloud’s resource usage and reduction in energy consumption for the datacenters can also be achieved through Graphics processing units (GPUs) [48]. An approach to analyse cluster equipped grouped together with virtual GPUs at remote stations by Iserte et al. [49] show that use of GPUs helps to enhance resource usage and makes sure that energy constraints are met. The usage of GPUs in finance based application is presented by Varghese et al. [50] which show that application’s efficiency is obtained by using GPUs. Despite the work presented, our aim is to consider workloads distributed across the datacenters geographically placed at different time zones. The VM placement and consolidation mechanisms shown in our work are easily applicable over such heterogeneous infrastructures.

There is a significant research available where energy usage and carbon footprints are considered for datacenters within the cloud. A VM placement method by Khosravi et al. [25] discusses energy reduction and carbon costs for datacenters placed geographically but with the limitation that all the locations are residing within the same country. A carbon footprint management approach by Doyle et al. [51] discusses only load balancing but consideration over renewable energy is not focused. A Parasol and GreenSwitch scheme proposed by Goiri et al. [52] takes a prototype system where dynamic scheduling is enabled for workloads and different energy sources are selected. Not like the work presented in [11], this work also considers servers at the same location. In comparison to existing work presented, the approach in [11], gives workload shifting in order to schedule workloads across various datacenters. The main objective of their work is to minimise overall carbon footprint as well as making sure that the average response time of the requests is intact. Along with these, their objective is also focused on geographically placed datacenters at different time zones having various carbon concentrations as well as renewable energy availability.

In [53], a new scheduling method for energy sources is formulated to enhance usage of renewable energy, and then considers reducing energy obtained from conventional grid and battery backup. The dynamic method encompasses to use grid power covering energy. The main advantage of this method is that it is evidently realistic to ponder supply of energy to a datacenter from the grid, though it has limitation to implement dynamic power. On contrary, it is also tried to optimise usage of battery by boosting low capacity of the batteries. The given algorithm gives high efficiency in case of renewable energy being efficiently and exhaustedly exploited by using workload scheduling. Furthermore, Liu et al. [39] integrates workload management for datacenters by taking gains of efficiency made available by changing demand which exploits variations in time for electricity’s price, renewable energy availability, and efficient cooling. There are two phases in the design i-e, first important feature is integrating three main silos of datacenters: IT, power and cooling. Secondly, a mix of theory, modelling, and implementation. The core of the design is focused on optimising cost solved through workload management. The method depicts reduction of grid electricity consumption by approximately 60% having no impact over the quality of service provided by the applications.

All these works have relatively ignored the performance aspect of the datacenters’ resources while moving or delaying workloads for later execution. Moreover, the impact of scheduling policies on datacenters’ costs is relatively unexplored in the discussed literature. For example, in [40], the focus is on using renewable energy as a main source of energy for datacenters. It helps to reduce energy costs of brown energy but brings out challenges due to issues of highly discontinuous and unstable condition of wind and solar energy. Similarly, in [41], the focus is on reduction of overall system energy cost of the system but it does not take into account the geographical location and time zones with respect to different electricity prices. Furthermore, in [42], a load balancing approach is implemented so that the energy cost is reduced using different time zones and locations for electricity prices using deferral method; but, it creates user dissatisfaction over dynamic price changes. In [43], a mean field method for load balancing among micro datacenters is used using renewable energy; but, it does not cover other sources of energy and their costs. Similarly, in [45], a DVFS-based VM consolidation approach is used for utilization of performance in order to reduce energy usage; however, in this approach resources are still underutilized. Table 9 describes summary of the related work. We believe, information in this table will help our readers to quickly identify gaps for further research, investigation and improvement.

7 Conclusions and Future Work

In this paper, we considered electricity sources and prices while provisioning the most economical resources for workloads in geographically distributed, heterogeneous, cloud datacenters. Furthermore, we assumed that various clusters are fuelled with different energy sources; and the electricity prices offered at different locations vary with respect to time of the day and location to location. We proposed a place-
Table 9: Summary of the related work, closest to our work, with respect to various evaluation criteria [Exe. time refers to workload performance]

<table>
<thead>
<tr>
<th>Approach</th>
<th>Methodology</th>
<th>Platform</th>
<th>Metric</th>
<th>CO₂ footprints</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Placement</td>
<td>Consolidation</td>
<td>Renewables</td>
<td>Migration</td>
</tr>
<tr>
<td>[42]</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>[41]</td>
<td>✓</td>
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<td>[5]</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>FollowMe@LS</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
</tbody>
</table>

The table summarizes the related work closest to our work, with respect to various evaluation criteria. The approach “FollowMe@LS” that puts workloads onto appropriate resources given the energy prices and efficiencies of the geographical clusters. Furthermore, we proposed three variants of the migration policy i.e. “FollowMe@Location”, “FollowMe@Source”, and “FollowMe@LS” that migrate workloads based on either energy prices, datacenter PUE, and both, respectively. Experimental results show ~15.26% energy savings, ~0.53% – ~19.66% reductions in service monetary costs, and ~1.58% improvements in applications’ performance, against the first fit heuristic algorithm. Furthermore, various applications and workload may perform quite differently, therefore, leading to variations in costs, revenues and energy consumptions.

We believe, the topic investigated in this research further suggests investigation and deep analysis of cloud workload [54], in order to justify the idea of: (i) delaying workloads for the availability of the renewables; and (ii) shifting and migrating them to appropriate locations so that energy efficiency along with performance gains and higher profit can be obtained. In respect of (i), workloads with strict deadlines, or quick response time (real-time application or cloud services) cannot be delayed. However, batch processing of certain applications can be scheduled, and might be beneficial, at later times. In respect of (ii), migration of workloads should account for certain aspects such as user’s location, mobility, impact on the performance and, most importantly, the savings and revenue achievable through migrating them while accounting for their migration costs. Besides workloads classification, different approaches to schedulers, such as single (centralised) and multiple (distributed and/or hierarchal), also need investigation for energy and performance efficiencies in large-scale cluster environments.

Characterising workloads will also need significant efforts over the prediction mechanisms. However, a prediction system might suffer from at least one, and occasionally all, of the several issues including: (i) the need of considerable amount of memory and complex data structures to store the history of users’ jobs; (ii) the need of a complex prediction approach; and (iii) significant computational and storage overheads for maintaining the jobs history and searching it for match. However, [13] demonstrated that a very simple predictor can do an excellent job. For example, their outcomes obtained through designing a very simple prediction algorithm – the average runtime of the two most recently submitted (and terminated) jobs by the same user; which is easy to implement and almost costs no computational or storage overheads. The findings suggest that the predictor’s successful capability is due to the fact it only focuses on recent jobs (requiring less memory and storage capacity), in contrast to the previously proposed prediction methods that have largely focused on similarity in terms of job numerous characteristics such as runtimes, resource requirements [24], [55], [56], [57]. In the future, machine learning based prediction techniques can be integrated with our proposal to trigger appropriate allocation and migration decisions. Finally, more accurate and reasonable models for energy consumption, performance (in particular co-located VMs) should be considered for further research.
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